

# Risperidone Case 2: Genetics 1-15-16

*Bork (a resident) et al.*

*J Clin Psychiatry 1999;60:469-76*

<http://www.ncbi.nlm.nih.gov/pubmed/10453802>

Jose de Leon, MD

# Educational Objectives

At the conclusion of this presentation, the participant should be able to:

1. Consider pharmacological principles in all patients.
2. Appreciate the potential for genetic influences on risperidone metabolism.
3. Show familiarity with how to correct risperidone dosing according to genetic variations.

# Abbreviations

- 9-OHR: 9-hydroxyrisperidone (Marketed as paliperidone)
- C: concentration (ng/mL)
- D: dose (mg/day)
- C/D: concentration/dose ratio.

It is an index of drug clearance.

For R: Total C = R C + 9-OHR C (both active)

$$C/D \text{ ratio} = \frac{R C + 9\text{-OHR C}}{R D}$$

- P-gp: p-glycoprotein
- R: risperidone
- R/9-OHR ratio =  $\frac{R C}{9\text{-OHR C}}$
- RCT: randomized controlled trial
- TDM: therapeutic drug monitoring (blood levels)

# CYP2D6 Terminology

- Everyone has two alleles which determine his/her phenotype.
- Phenotype =  
“The outward appearance of the individual. It is the product of interactions between genes, and between the GENOTYPE and the environment.”

<http://www.ncbi.nlm.nih.gov/mesh/?term=phenotype>

# CYP2D6 Terminology Preferred by Dr. de Leon

<u>Phenotype</u>	<u>Number of active alleles</u>
Ultrarapid metabolizer (UM)	$\geq 3$
Extensive metabolizer (EM)	1 to $< 3$
Intermediate metabolizer (IM)	0 to $< 1$
<u>Poor metabolizer (PM)</u>	<u>0</u>

<http://www.ncbi.nlm.nih.gov/pubmed/19169185>

Dr. de Leon has only one normal active allele.

He considers himself a CYP2D6 EM.

See the presentation “Pharmacogenetic Testing in Psychiatry” for more details.

# CYP2D6 Terminology: According to Some Pharmacogenetic Companies

<u>Phenotype</u>	<u>Number of active alleles</u>
Ultrarapid metabolizer (UM)	$\geq 3$
Extensive metabolizer (EM)	$>1$ to $<3$
Intermediate metabolizer (IM)	0 to 1
<u>Poor metabolizer (PM)</u>	<u>0</u>

Dr. de Leon has only one normal active allele. He is a CYP2D6 IM for some pharmacogenetic companies providing CYP2D6 genotyping.

# Statistical Definitions

## ■ Median:

- Wikipedia: “numerical value separating the higher half of a sample” <http://en.wikipedia.org/wiki/Median>
- Easier definition for physicians: 50<sup>th</sup> percentile (P50).  
Half the values are above and half are below.  
It is a better average measure than the mean when you have only a few values or an asymmetric distribution.

## ■ 25<sup>th</sup> Percentile (P25):

- $\frac{3}{4}$  values are above and  $\frac{1}{4}$  below.

## ■ 75<sup>th</sup> Percentile (P75):

- $\frac{1}{4}$  values are above and  $\frac{3}{4}$  below.

**2.0.**

**Risperidone**

**Case 2**



# Risperidone Case 2

**2.1. Case Description**

**2.2. R TDM Review in 1996**

**2.3. Case Interpretation**

**2.4. R Pharmacokinetics**

**2.5. Points to Remember**

# Risperidone Case 2

## 2.1. Case Description

## 2.2. R TDM Review in 1996

2.2.1. R/9-OHR Ratio

2.2.2. C/D Ratio

## 2.3. Case Interpretation

2.3.1. First TDM

2.3.2. Second TDM

2.3.3. Outcome

## 2.4. R Pharmacokinetics

2.4.1. CYP2D6 PMs

2.4.2. R's Manufacturer and CYP2D6

2.4.3. CYP2D6 and R Metabolism

2.4.4. R TDM and CYP2D6: Dr. de Leon's Studies

## 2.5. Points to Remember

## **2.1. Case Description**

## 2.1. Risperidone Case 2: Case

- 45-year-old Caucasian ♀
- Non-smoker
- Diagnosis of schizophrenia and severe tardive dyskinesia.
- Medication stable for months
  - Risperidone 6 mg/day

## **2.2. R TDM Review in 1996**

**(This is when Dr. de Leon treated this patient)**

## **2.2. R TDM Review in 1996**

**2.2.1. R/9-OHR Ratio in 1996**

**2.2.2. C/D Ratio in 1996**

## **2.2.1. R/9-OHR Ratio in 1996**

## 2.1.1. Risperidone Case 1: R/9-OHR Ratio

- In 1996, Ereshefsky described the R/9-OHR ratio:

<http://www.ncbi.nlm.nih.gov/pubmed/8941167>

- an index of CYP2D6 activity
- $>1$ : CYP2D6 PM or taking a CYP2D6 inhibitor



## **2.1.2. R C/D Ratio in 1996**

## 2.1.2. Risperidone Case 2: C/D Ratio

- Concentration-to-dose ratio (C/D) provides an estimation of the medication clearance once steady state has been reached.
- In R this will be calculated by dividing the total concentration (C) (R + 9-OHR) by the R dose (D).

## 2.2.2. Risperidone Case 2: C/D Ratio

- In the manufacturer's RCTs,  
Dr. de Leon found an average C/D  
ratio = 7.

## 2.2.2. Risperidone Case 2: C/D Ratio

- Many years after 1996:  
this C/D ratio =7 was found to be correct in Dr. de Leon's studies.

<http://www.ncbi.nlm.nih.gov/pubmed/17541883>

- In Dr. de Leon's experience, some labs in Europe appear to provide higher C/D ratios, close to 10 (probably explained by differences in calibration of the chromatography system).

## **2.3. Case Interpretation**

## 2.3. Case Interpretation

2.3.1. First TDM

2.3.2. Second TDM

2.3.3. Outcome

## **2.3.1. First TDM**

## 2.3.1. Case 2: First TDM R TDM on 6 mg/day

	R C	9-OHR C	Total R/9-OHR	C/D	
	ng/ml	ng/ml	ng/ml ratio	ratio	
Expected	8	34	42	0.25	7
Found	79	17	96	4.3	16



## 2.3.1. Case 2: First TDM

So, how did you interpret  
this R TDM?

## 2.3.1. Case 2: First TDM

So, how did you interpret  
this R TDM?

**You have 2 ratios:  
R/9-OHR ratio=4.3  
and C/D ratio=16.**

## 2.3.1. First TDM

2.3.1.1. First R/9-OHR

2.3.1.2. First R C/D Ratio

## **2.3.1.1. First R/9-OHR Ratio**

## 2.3.1.1. First R/9OHR Ratio

So, what can you say about  
a R/9-OHR ratio=4.3?

### 2.3.1.1. First R/9OHR Ratio

So, what can you say about  
a R/9-OHR ratio=4.3?

**R/9-OHR ratio >1  
suggests a CYP2D6 PM,  
in the absence of  
CYP2D6 inhibitors.**

## **2.3.1.2. First R C/D Ratio**

## 2.3.1.2. First R C/D Ratio

So, what can you say about  
a R C/D ratio = 16?



## 2.3.1.2. First R C/D Ratio

So, what can you say about  
a R C/D ratio = 16?

**A R C/D ratio >14  
(14 is 2 x normal value of 7)  
suggests poor elimination  
of R from the body.**

## 2.3.1.2. First R C/D Ratio

So, what is the R D  
that corresponds  
total C=96 ng/ml?

### 2.3.1.2. First R C/D Ratio

So, what is the R D

that corresponds

total C=96 ng/ml?

**C=96 D=x and C/D ratio =7,**

**96/x=7 or x=96/7=13.7.**

**It corresponds**

**to D=14 mg/day.**

## 2.3.1.2. First R C/D Ratio

So, what are your  
conclusions?

## 2.3.1.2. First R C/D Ratio

So, what are your conclusions?

**Possibly a CYP2D6 PM:**

**1) abnormally high C/D ratio = 16 and**

**2) D=6 mg/day but**

**TDM suggests D=14.**

## 2.3.1.2. First R C/D Ratio

What would you do?

## 2.3.1.2. First R C/D Ratio

What would you do?

**Dr. de Leon**

**↓ D to 2 mg/day.**

## **2.3.2. Second TDM**



## 2.3.2. Case 2: Second TDM

### R TDM on 2 mg/day

	R ng/ml	9-OHR ng/ml	Total R/9-OHR ng/ml ratio	C/D ratio
Expected	<5	11	<16 <0.45	<8
Found	27	8	35 3.4	17.5

## 2.3.2. Case 2: First and Second TDM

Dose mg/day	R ng/ml	9-OHR ng/ml	Total R/9-OHR ng/ml	ratio	C/D ratio
6	79	17	96	4.3	16
2	27	8	35	3.4	17.5

## 2.3.2. Case 2: Second TDM

So, how did you interpret  
this R TDM?

## 2.3.2. Case 2: Second TDM

So, how did you interpret  
this R TDM?

**You have 2 ratios:**

**R/9-OHR ratio =3.4**

**C/D ratio =17.5.**

## 2.3.2. Second TDM

2.3.2.1. Second R/9-OHR

2.3.2.2. Second R C/D Ratio

## **2.3.2.1. Second R/9-OHR Ratio**

## 2.3.2.1. Second R/9-OHR Ratio

So, what can you say about  
 $R/9-OHR=3.4$ ?

### 2.3.2.1. Second R/9OHR Ratio

So, what can you say about  
 $R/9-OHR=3.4$ ?

**Second time  $R/9-OHR > 1$ ;  
no CYP2D6 inhibitors.**

**The patient is a CYP2D6 PM.**



## **2.3.2.2. Second R C/D Ratio**

## 2.3.2.2. Second R C/D Ratio

So, what can you say about  
a C/D ratio = 17.5?

## 2.3.2.2. Second R C/D Ratio

So, what can you say about  
a C/D ratio = 17.5?

**A C/D ratio  $>14$**

**(14 is 2 x normal value of 7)  
suggests poor elimination  
of R from the body.**

## 2.3.2.2. Second R C/D Ratio

So, what is the D that corresponds to total R C=35 ng/ml?

## 2.3.2.2. Second R C/D Ratio

So, what is the D that corresponds to

total R C=35 ng/ml?

**C=35 D=x and C/D=7**

$$35/x=7; x=35/7=5.$$

**It corresponds to D=5 mg/day.**

## 2.3.2.2. Second R C/D Ratio

So, what are your conclusions?

## 2.3.2.2. Second R C/D Ratio

So, what are your conclusions?

The patient is a CYP2D6 PM:

1) abnormally high C/D=17.5,

and

2) D=2 mg/day,

but TDM suggests D=5.

## **2.3.3. Outcome**



## 2.3.3. Outcome

- The patient was tested to determine CYP2D6 genotype.
  - Two abnormal alleles = CYP2D6 PM (CYP2D6\*4/\*4)  
See the presentation “Pharmacogenetic Testing in Psychiatry” for more details.
- Treatment: The patient was switched to olanzapine (not dependent on CYP2D6 for its metabolism).
- She improved and was discharged.

## **2.4. R Pharmacokinetics**

## 2.4. R Pharmacokinetics

2.4.1. CYP2D6 PMs

2.4.2. R's Manufacturer and CYP2D6

2.4.3. CYP2D6 and R Metabolism:

Dr. de Leon's Hypothesis

2.4.4. R TDM and CYP2D6:

Dr. de Leon's Studies

## **2.4.1. CYP2D6 PMs**

## 2.4.1. CYP2D6 PMs

- CYP2D6 PMs have no CYP2D6 activity (no enzyme or inactive enzyme).
- Prevalence is influenced by race or ethnicity.
  - Caucasians: approximately 7%
  - Other races: 1-3 (<5%)

## 2.4.1. CYP2D6 PMs

	Cau	EastAsian	AA	MidE
CYP2D6				
PM	7	<1	1-2	2
UM	1.5	<1	2	10-29
Other	80-94	10-25	96-97	69-88
		(*10)	(*17)	
<u>IMs Frequent</u>				

Cau: Caucasians; AA:African Americans; MidE: Middle Easterners.

For more details, see the presentation “Pharmacogenetic Testing in Psychiatry”.

## **2.4.2. R's Manufacturer and CYP2D6**

## 2.4.2. CYP2D6: Information Provided by the Manufacturer in 1996

- R is metabolized to 9-OHR by CYP2D6.
- CYP2D6 EMs: little serum R and much 9-OHR.  
CYP2D6 PMs: much serum R and little 9-OHR.  
Same total serum moiety (R+9-OHR)(Fact A).
- Pharmacodynamic studies in rats suggest that R and 9-OHR may be equipotent (Fact B).
- Based on Facts A and B: Huang et al. (*Clin Pharmacol Ther* 1993;54:257-68) proposed that “the polymorphic nature of risperidone kinetics is of no clinical consequence”.

<http://www.ncbi.nlm.nih.gov/pubmed/7690693>



## 2.4.3. CYP2D6: Prescribing Information

<http://dailymed.nlm.nih.gov/dailymed/drugInfo.cfm?setid=7e117c7e-02fc-4343-92a1-230061dfc5e0>

- “Although EMs have lower risperidone and higher 9-hydroxyrisperidone concentrations than PMs, the pharmacokinetics of risperidone and 9-hydroxyrisperidone combined, after single and multiple doses, are similar in EMs and PMs.”
- “The therapeutic benefits and adverse effects of risperidone in patients receiving quinidine have not been evaluated, but observations in a modest number ( $n \cong 70$ ) of PMs given RISPARDAL® do not suggest important differences between PMs and EMs.”
- Literal quotations (except abbreviations EMs & PMs)  
Quinidine is a powerful CYP2D6 inhibitor.

## **2.4.3. CYP2D6 and R Metabolism: Dr. de Leon's Hypothesis**

## **2.4.3. CYP2D6 and R Metabolism: Dr. de Leon's Hypothesis**

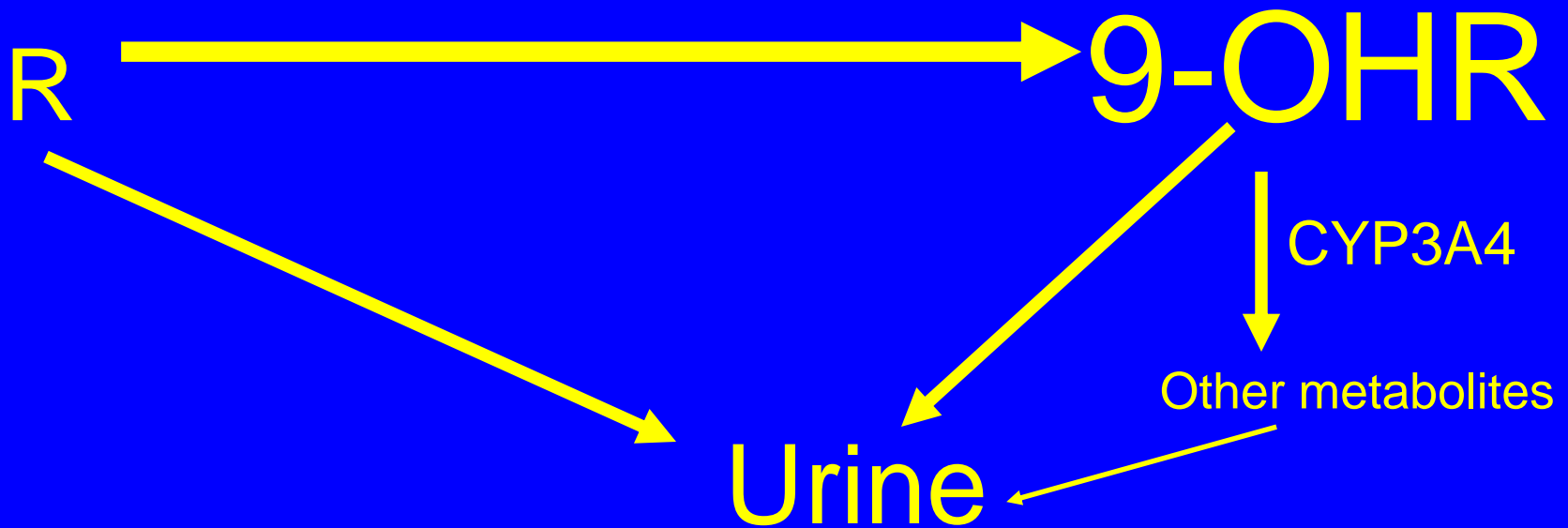
- 2.4.3.1. R Metabolism: Patients with CYP2D6**
- 2.4.3.2. R Metabolism: CYP2D6 PMs**
- 2.4.3.3. R Brain Penetration**

## **2.4.3.1. R Metabolism: Patients with CYP2D6 (Dr. de Leon's Hypothesis)**

## 2.4.3.1. R Metabolism: Patients with CYP2D6

CYP2D6 main enzyme

CYP3A4 minor enzyme

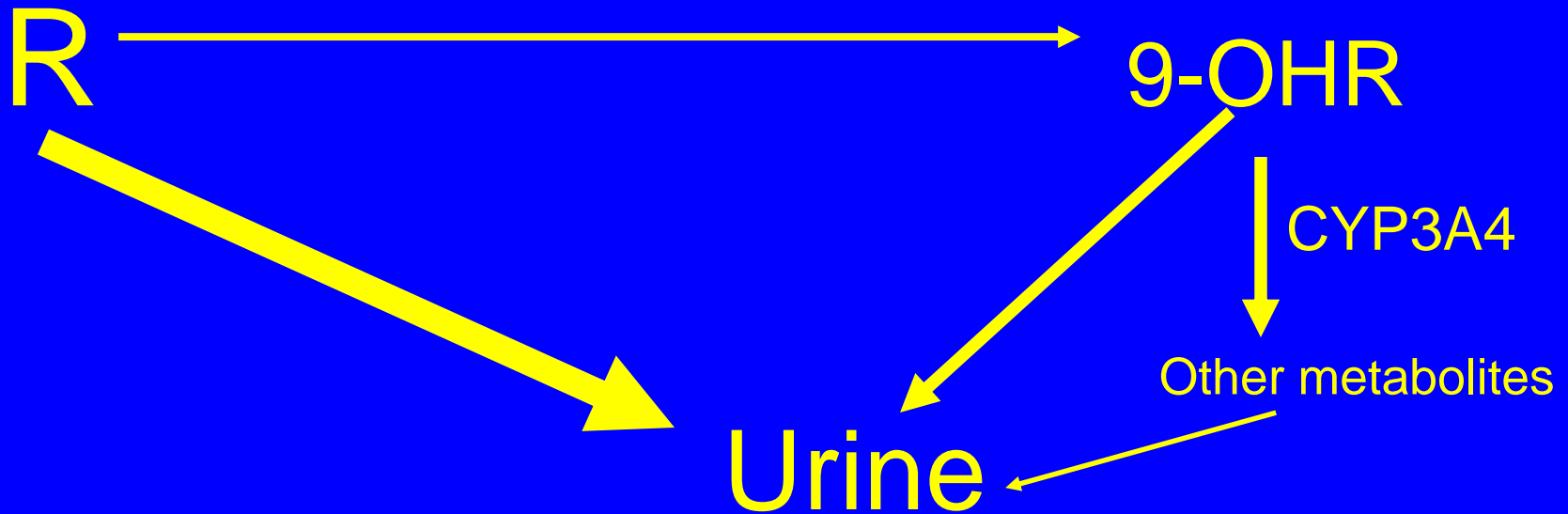


## **2.4.3.2. R Metabolism: CYP2D6 PMs (Dr. de Leon's Hypothesis)**

## 2.4.3.2. CYP2D6 and R: CYP2D6 PMs

NO CYP2D6

CYP3A4



## **2.4.3.3. R Brain Penetration (Dr. de Leon's Hypothesis)**



## 2.4.3.3. R Brain Penetration

- P-glycoprotein (P-gp) is a transporter, it:
  - has affinity for CYP3A substrates
  - works closely with CYP3A to avoid substrate absorption in the intestine
  - has stronger effects on 9-OHR than on R at the blood-brain barrier:  
Less 9-OHR than R crosses this barrier

<http://www.ncbi.nlm.nih.gov/pubmed/15683552>

- If you have an interest in learning more about P-gp, see the presentation “Induction by Antiepileptic Drugs: An Update for Clinicians.”

## 2.4.3.3. R Brain Penetration

- Dr. de Leon's hypothesis is that
  - serum R is more toxic than serum 9-OHR, since more R reaches the brain.
  - P-gp rejects more 9-OHR than R at the blood-brain barrier.

<http://www.ncbi.nlm.nih.gov/pubmed/20118446>

- The next 3 slides represent that graphically:
  - First: CYP2D6 IM: serum R/9-OHR=1
  - Second: CYP2D6 EM: serum R/9-OHR=0.2
  - Third: CYP2D6 PM: serum R/9-OHR=2.5

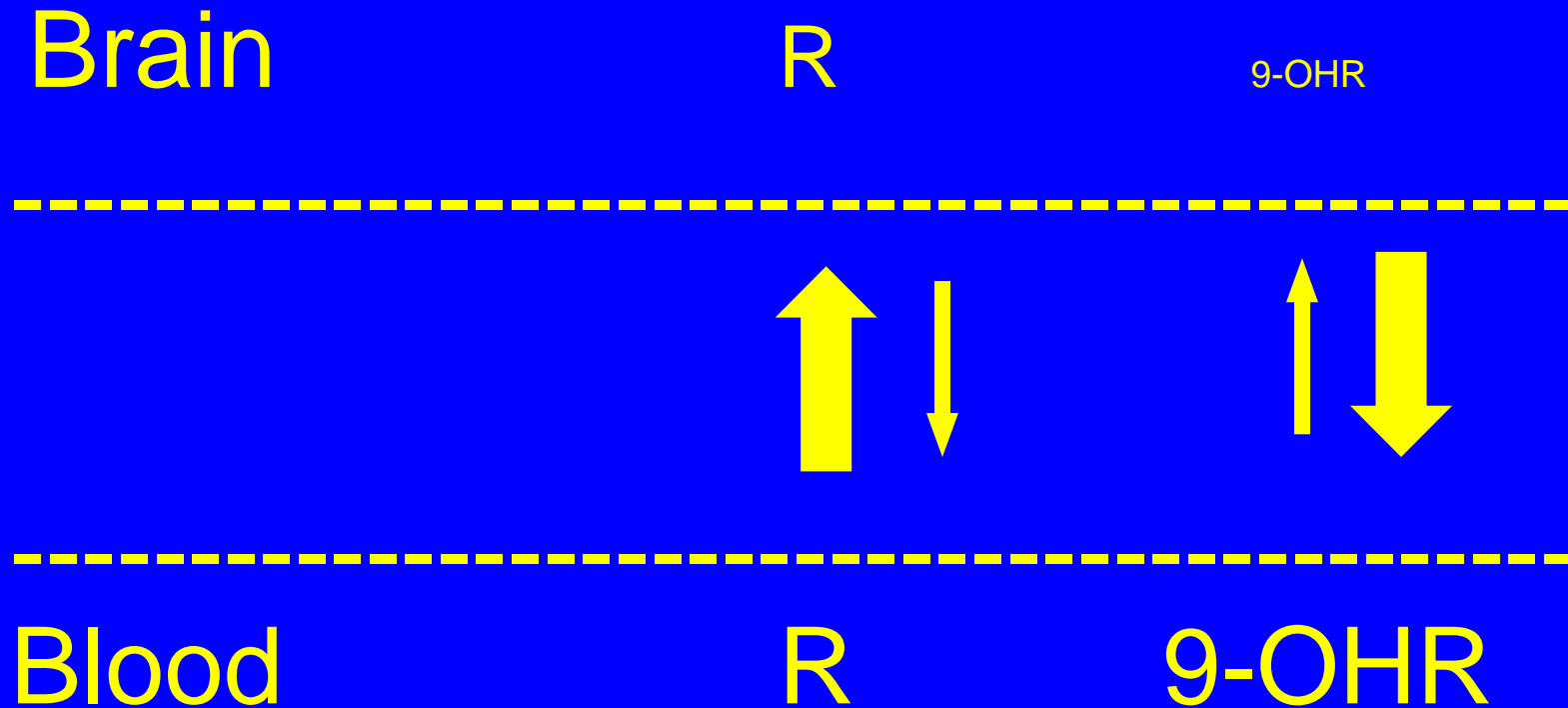
## **2.4.3.3. R Brain Penetration**

### **Dr. de Leon's Hypothesis**

- 2.4.3.3.1. R Brain Penetration in a CYP2D6 IM
- 2.4.3.3.2. R Brain Penetration in a CYP2D6 EM
- 2.4.3.3.3. R Brain Penetration in a CYP2D6 PM

**2.4.3.3.1. R Brain Penetration  
in a CYP2D6 IM  
(Dr. de Leon's Hypothesis)**

## 2.4.3.3.1. R Brain Penetration in a CYP2D6 IM



Serum  $R/9\text{-OHR}=1$  (same serum R and 9-OHR Cs)

**2.4.3.3.2. R Brain Penetration  
in a CYP2D6 EM  
(Dr. de Leon's Hypothesis)**

## 2.4.3.3.1. R Brain Penetrance in a CYP2D6 EM

Brain

R

9-OHR



Blood

R

9-OHR

Serum  $R/9\text{-OHR}=0.2$  (serum  $RC < 9\text{-OHR C}$ )

**2.4.3.3.3. R Brain Penetration  
in a CYP2D6 PM  
(Dr. de Leon's Hypothesis)**

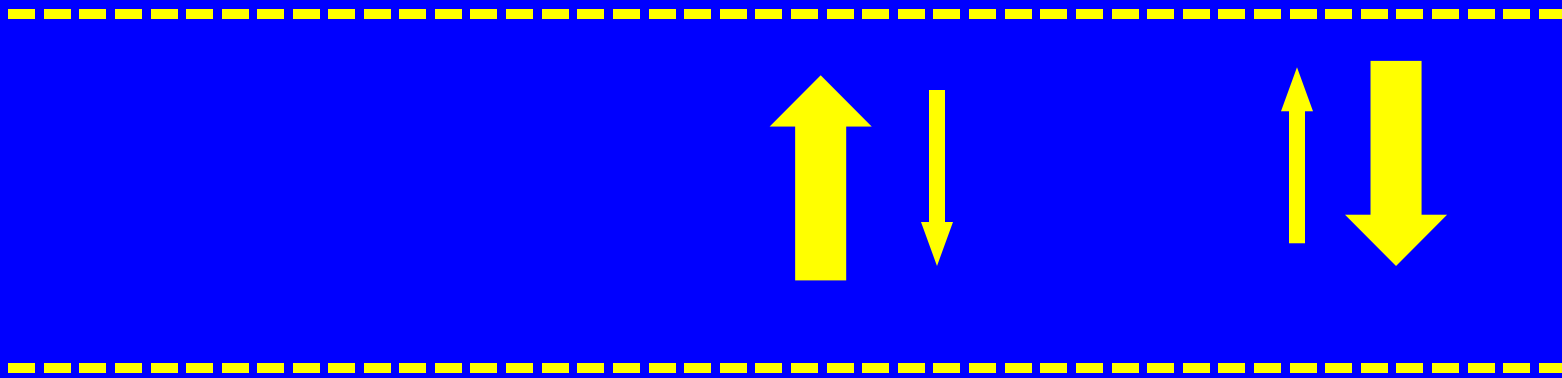


## 2.4.3.3.1. R Brain Penetration in a CYP2D6 PM

Brain

R

9-OHR



Blood

R

9-OHR

Serum  $R/9\text{-OHR}=2.5$  (serum  $R\ C>9\text{-OHR}\ C$ )

## **2.4.4. R TDM and CYP2D6: Dr. de Leon's Studies**

## **2.4.4. R TDM and CYP2D6: Dr. de Leon's Studies**

### **2.4.4.1. R/9-OHR Ratio:**

**Patients Not Taking Inhibitors**

### **2.4.4.2. R/9-OHR Ratio:**

**Lack of Inhibitors vs. Inhibitors**

## **2.4.4.1. R/9-OHR Ratio: Patients Not Taking Inhibitors**

### 2.4.4.1. R/9-OHR Ratio: No Inhibitors <http://www.ncbi.nlm.nih.gov/pubmed/18621942>

		Active	R/9-OHR		
	N	alleles	Median	P25	P75
UM	7	3	0.03	0.02	0.06
EM	3	2.4	0.05	0.02	0.10
EM	69	2.0	0.06	0.03	0.14
EM	40	1.4	0.08	0.04	0.18
EM	7	1.2	0.08	0.06	0.27
EM	60	1.0	0.14	0.07	0.28
IM	5	0.8	0.24	0.17	2.0
IM	4	0.6	0.45	0.15	0.61
IM	11	0.4	0.94	0.35	1.3
PM	14	0	2.5	1.8	4.1

N: sample size; Active alleles: refers to activity (“3” is a UM with an activity of 3 and 3 active alleles,; “0.4” is a IM with little activity).  
P25: 25th percentile; P75: 75th percentile.

### 2.4.4.1. R/9-OHR ratio: No Inhibitors <http://www.ncbi.nlm.nih.gov/pubmed/18621942>

The most important information for this case:  
CYP2D6 PMs have  $R/9\text{-OHR} > 1$  with a median = 2.5

		Allele	R/9-OHR		
	N	activity	Median	P25	P75
PM	14	0	2.5	1.8	4.1

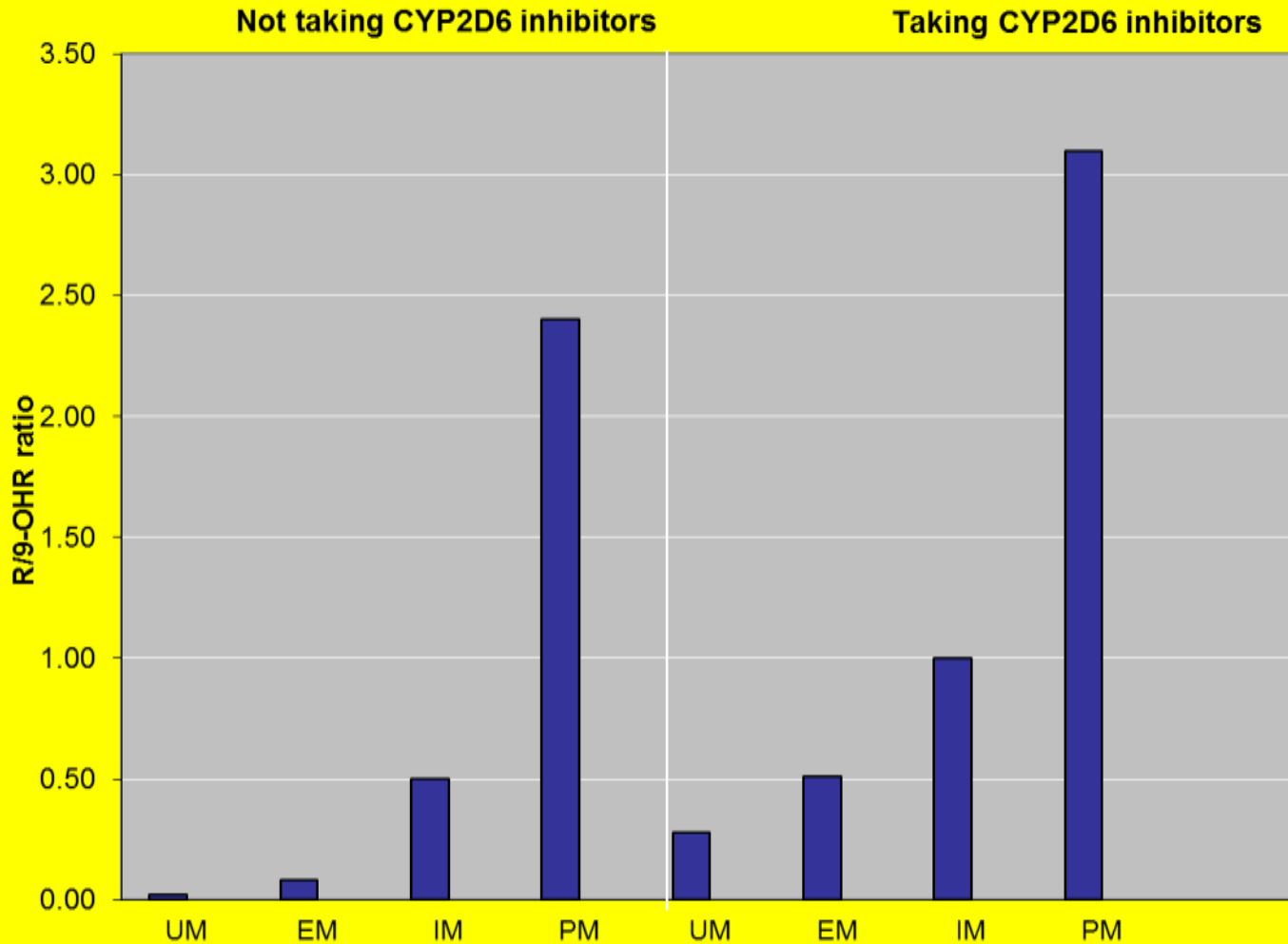
N: sample size; Alleles: refers to activity (“0” reflects that PM have no CYP2D6 activity).

P25: 25th percentile; P75: 75th percentile.

## **2.4.4.2. R/9-OHR Ratio:**

**Lack of Inhibitors vs. Inhibitors**

## 2.4.4.2. R/9-OHR Ratio: Lack of Inhibitors vs. Inhibitors





## 2.4.4.2. R/9-OHR Ratio: Lack of Inhibitors vs. Inhibitors

■ The most important information in the prior slide:

- CYP2D6 PMs: R/9-OHR ratio changes little  
from no inhibitors to inhibitor

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median	around 2.5	around 3
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- CYP2D6 IMs: R/9-OHR ratio changes a lot  
from no inhibitors to inhibitor

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median	0.5	1.0
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- CYP2D6 EMs: R/9-OHR ratio changes  
but not clear clinical relevance

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	from no inhibitors to	inhibitor
--	-----------------------	-----------

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median	around 0.2	0.5
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- CYP2D6 UMs: R/9-OHR ratio changes  
but not clear clinical relevance

---

	from no inhibitors to	inhibitor
--	-----------------------	-----------

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median	<0.1	around 0.3
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## 2.4.4.2. R/9-OHR Ratio: Lack of Inhibitors vs. Inhibitors

- CYP2D6 Inhibitors:
  - Adding: ↑ R/9-OHR ratio  
↓ CYP2D6 activity
  - Discontinuing: ↓ R/9-OHR ratio  
↑ CYP2D6 activity

## 2.4.4.2. R/9-OHR Ratio: Lack of Inhibitors vs. Inhibitors

<u>Inhibitors</u>	<u>CYP2D6</u>	<u>CYP3A4</u>
Fluoxetine	Potent	Weak-moderate
Paroxetine	Potent	
Bupropion	Moderate	
Duloxetine	Moderate	
Sertraline	Weak-moderate (dose-related)	
Fluvoxamine	Weak	Moderate
Citalopram	Not relevant*	
<u>Escitalopram</u>	<u>Not relevant*</u>	

\*Probably are such weak inhibitors that they are not clinically relevant.

See the presentation on “Antidepressant Pharmacokinetics”.

## 2.4.4.2. R/9-OHR Ratio: Lack of Inhibitors vs. Inhibitors

- Dr. de Leon has almost no experience with CYP2D6 IMs with very limited activity (\*10/\*10) (frequent in East Asians). He suspects that they should be very sensitive to CYP2D6 inhibitors (see prior slide) and after taking them may behave as CYP2D6 PMs.

## **2.5. Points to Remember**

## 2.5. Points to Remember

### ■ CYP2D6 PMs:

- have problems eliminating R (high C/D ratio) with high serum R C.
- according to Dr. de Leon's hypothesis, have a more toxic serum profile (R C > 9-OHR C).
  - R is more active (toxic) than 9-OHR
  - because R penetrates the BBB better.
- Dr. de Leon recommends lower Ds (half).

<http://www.ncbi.nlm.nih.gov/pubmed/25200585>

### ■ These ideas are supported by very limited studies

<http://www.ncbi.nlm.nih.gov/pubmed/15669884>

and ignored by the majority of articles and textbooks.

# Questions

- Please review the 10 questions in the pdf entitled “Questions on the Presentation: Risperidone Case 2”.
- You will find the answers on the last slide after the “Thank you slide”. No peeking until you have answered all the questions.
- If you did not answer all the questions correctly, please review the PowerPoint presentation again to reinforce the pharmacological concepts.

*Thank you*



# Answers

1. B

2. A

3. A

4. A

5. D

6. C

7. D

8. D

9. D

10. C